

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

CALEDONIAN BANK LTD.,  
CALEDONIAN SECURITIES LTD.,  
CLEAR WATER SECURITIES, INC.,  
LEGACY GLOBAL MARKETS S.A., and  
VERDMONT CAPITAL, S.A.

Defendants.

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: Case No. 15-cv-894  
: (WHP)(JLC)  
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**ORDER DIRECTING CLERK TO STRIKE ANSWER AND DEFENSES OF  
DEFENDANT VERDMONT CAPITAL, S.A.**

THIS MATTER is before the Court on the request of Plaintiff Securities and Exchange Commission to have the Clerk strike the Answer to Amended Complaint and Defenses filed by Defendant Vermont Capital, S.A. (“Vermont”) on June 11, 2015 (Dkt. No. 112).

The Court finds that as a corporate entity, Vermont is required to be represented in this case by counsel. Because Vermont has failed to retain counsel since the withdrawal of its prior counsel (*see* Dkt. No. 280), and further in light of the Declaration (Dkt. No. 281) filed by Vermont’s liquidator revealing that the company no longer wishes to defend this case, the Court deems it appropriate to strike Vermont’s Answer and Defenses.

IT IS THEREFORE ORDERED AND ADJUDGED that the Clerk shall strike forthwith the Answer to Amended Complaint and Defenses filed by Vermont on June 11, 2015 (Dkt. No. 112).

Dated: New York, New York  
\_\_\_\_\_, 2017

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HON. WILLIAM H. PAULEY III  
UNITED STATES DISTRICT JUDGE

cc: All Counsel of Record via CM-ECF.

Verdmont Capital, S.A., *pro se*  
c/o Shamima Bhana, Liquidator  
Edificio Verdmont, Ave. Aquilino de la Guardia No. 18  
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